



Attorney's Docket No.: 09857-054001

TFW 3628

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Steven M. Bloom et al. Art Unit : 3628
Serial No. : 09/758,967 Examiner : Nguyen, Nga B
Filed : January 11, 2001 Conf. No. : 9652
Title : ARBITRAGE OF TRACKING SECURITIES

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT IN REPLY TO ACTION OF JUNE 16, 2006

Please amend the above-identified application as follows:

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

09/13/2006 DEMMANU1 00000066 09758967

01 FC:1202

100.00 OP

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit

September 8, 2006

Signature

Maie Collins

Typed or Printed Name of Person Signing Certificate

Maie Collins